

# How many types of severe hailstorm environments are there globally?



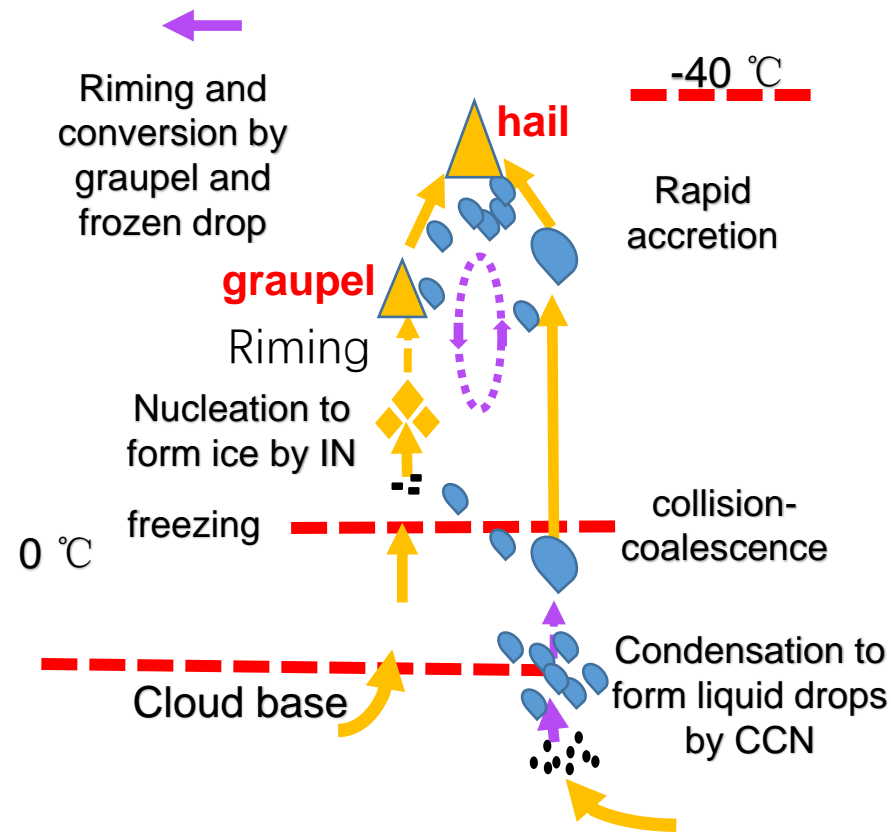
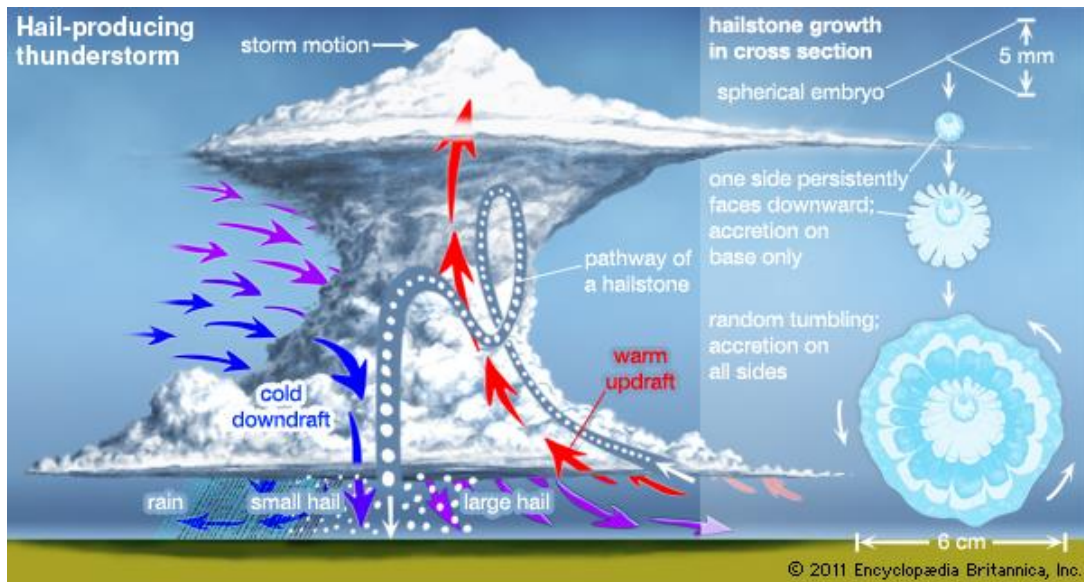
Zhou, Z., **Zhang, Q.**,\* Allen, J. T., Ni, X., & Ng, C.-P. 2021. How many types of severe hailstorm environments are there globally? *Geophysical Research Letters*, 48, e2021GL095485.

Raupach T-H., O. Martius, J T. Allen, M. Kunz, S. L. Trapp, S. Mohr, K. L Rasmussen, R. J. Trapp and **Q. Zhang**, 2021: The effects of climate change on hailstorms. *Nature Reviews*.

Ni, Xiang, C. Liu\*, D. J. Cecil, **Q. Zhang**.2017: On the hail detection using satellite passive microwave radiometers and precipitation radar. *Journal of Applied Meteorology & Climatology*.

Ni, X., Liu, C., Q. **Zhang\***, & Cecil, D. J. 2016: Properties of hail storms over china and the united states from the tropical rainfall measuring mission. *Journal of Geophysical Research, Atmos.*,121(20). 121.

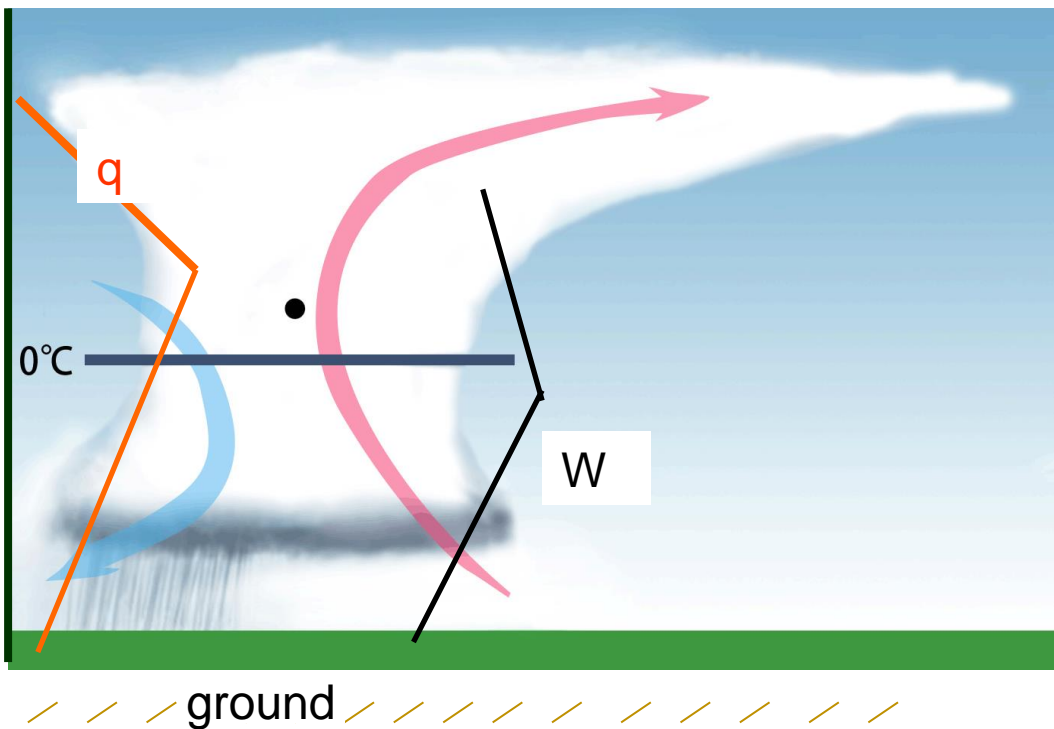
# Hailstorm & Hailstone Formation



Lamb and Verlinde, 2011

冰雹指直径大于5mm的球状或不规则形状的冰粒降水 (WMO)

# Hailstorm environment & hailstone formation ?



CAPE  
PW  
FLH  
C-base H

热力

VWS

动力

Aerosol

气溶胶

辐射

IN  
CCN

大尺度环境

微物理过程

特征	描述	单位
MUCAPE	Most-unstable convective available potential energy	J/ kg
MUCIN	Most-unstable convective inhibition	J/ kg
PW	Precipitable water	mm
SHR06	0-6km bulk shear	m/ s
Hlift	Height of lifting parcel with MUCAPE	m AGL
HGZ	Height interval between -30°C and -10°C	m above ground level (AGL)
Hmelt	Height interval between FLH and terrain height	m AGL
Hterrain	Terrain height	m
TLCL	Temperature of Lifting Condensation Level (LCL)	°C
TLFC	Temperature of Level of Free Convection (LFC)	°C
TEL	Temperature of Equilibration Level	°C
T500	500hPa temperature	°C
HLCL	Height of LCL	m AGL
HLFC	Height of LFC	m AGL
HEL	Height of Equilibration Level	m AGL
L700500	700hPa-500hPa lapse rate	°C/ km
Normalized	MUCAPE divided by the depth of the layer where CAPE is present	m/ s <sup>2</sup>
MUCAPE		J/ kg
CAPE_FL	H_lift-FLH MUCAPE	J/ kg
H		
CAPE_3k	H_lift-3km MUCAPE	J/ kg
m		
CAPE_6k	H_lift-6km MUCAPE	J/ kg
m		
SBCAPE	Surface-based convective available potential energy	J/kg
SBCIN	Surface-based convective inhibition	J/kg
PRECIP RATE	Precipitation Rate	mm/h
EBWD	Effective bulk wind difference	m/s
Tlift	Temperature of lifting parcel with MUCAPE	°C
Plift	Pressure of lifting parcel with MUCAPE	hPa
Year	-	-
Month	-	-
Day	-	-
Hour	-	-
Lon	Longitude	-
Lat	Latitude	-

***“The long-term trend of hail is in low-confidence.”***

**IPCC-5&6**



- **Observation:**
  - Insufficient studies and data quality issues
  - Inhomogeneity of observation methods
- **Physics:**
  - Linkage between global scale to small scale
  - Complex hail formation process
    - microphysics & dynamics



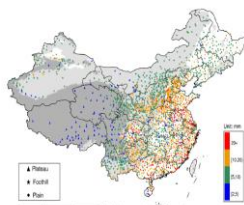
# Hailstorms Observation & modeling



Cocorahs. org

测雹板

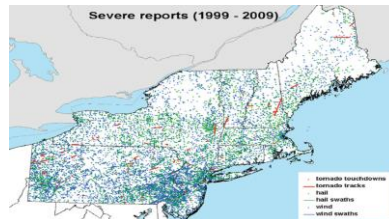
稀少、需人工



Ni, 2017

气象站

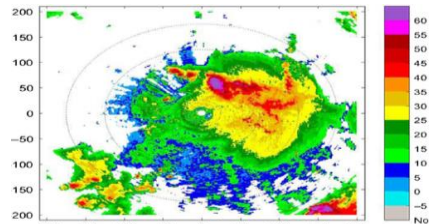
覆盖不均、观测指南、需人工



Hur Ibut, 2012

公共报告

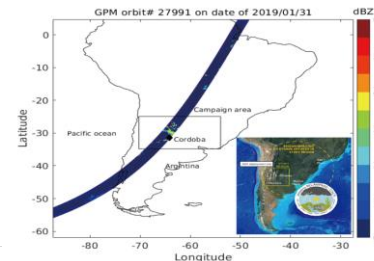
分布广泛、受人口密度影响大



Chen, 2022

地基雷达

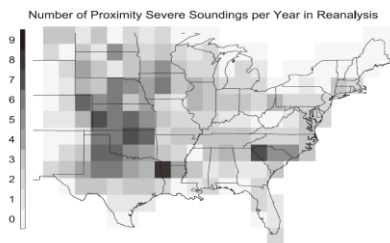
全天候观测、建筑遮挡



Minda, 2020

卫星

时空限制少、数据源均一、非全覆盖

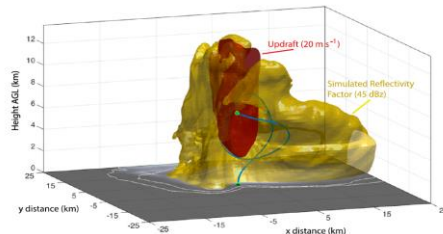


Brooks, 2003

复合参数

判别式区域差异

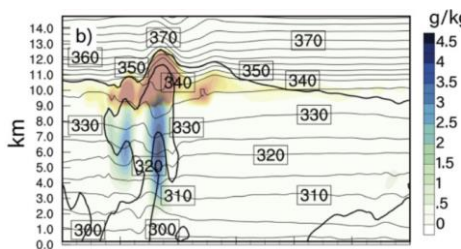
冰雹研究尺寸不一致



Dennis, 2017

云模式

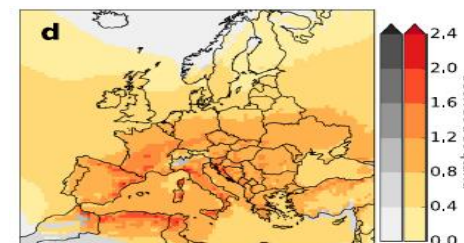
理想化、半理想化



Toker, 2021

中尺度模式

分辨率高、参数化方案敏感

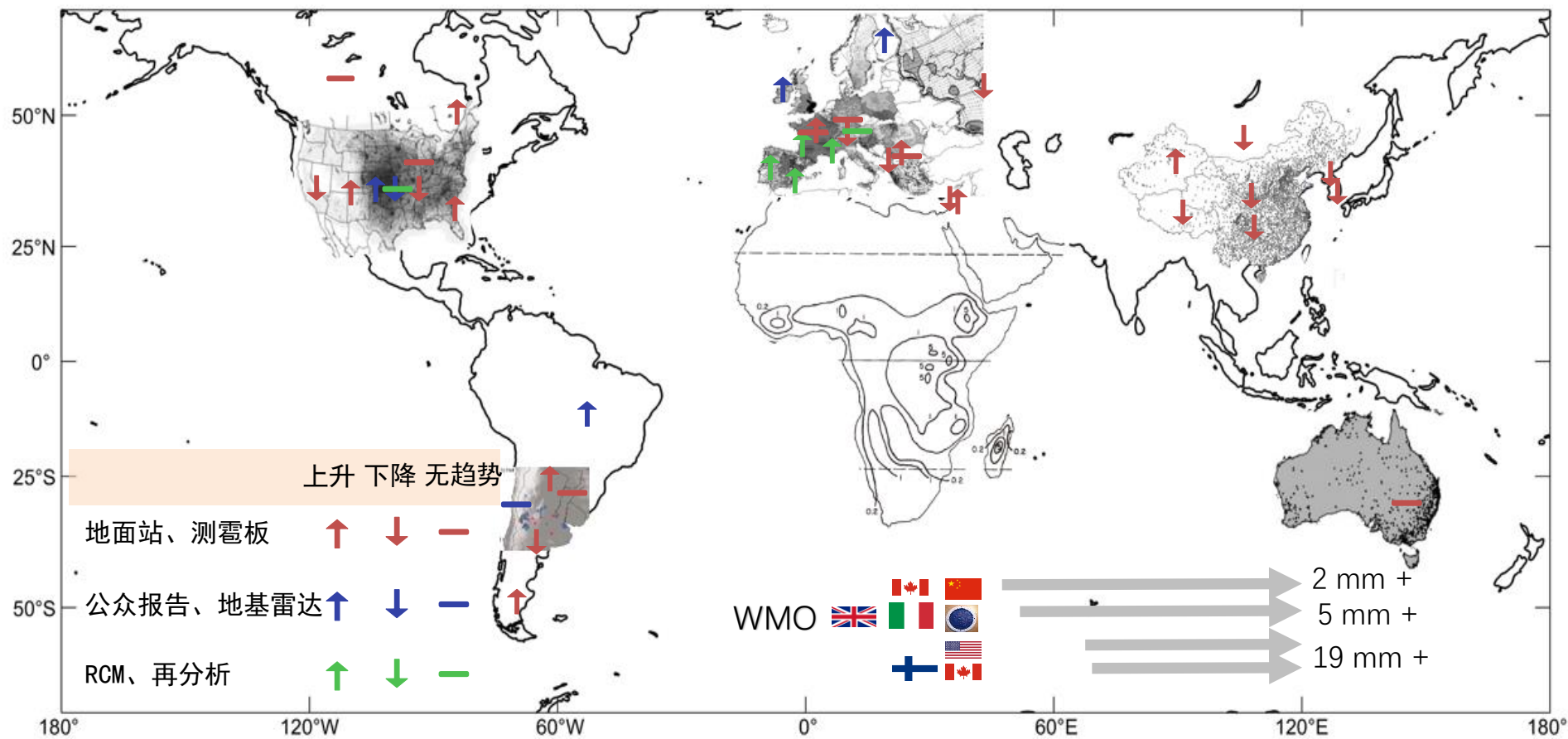


Radler, 2019

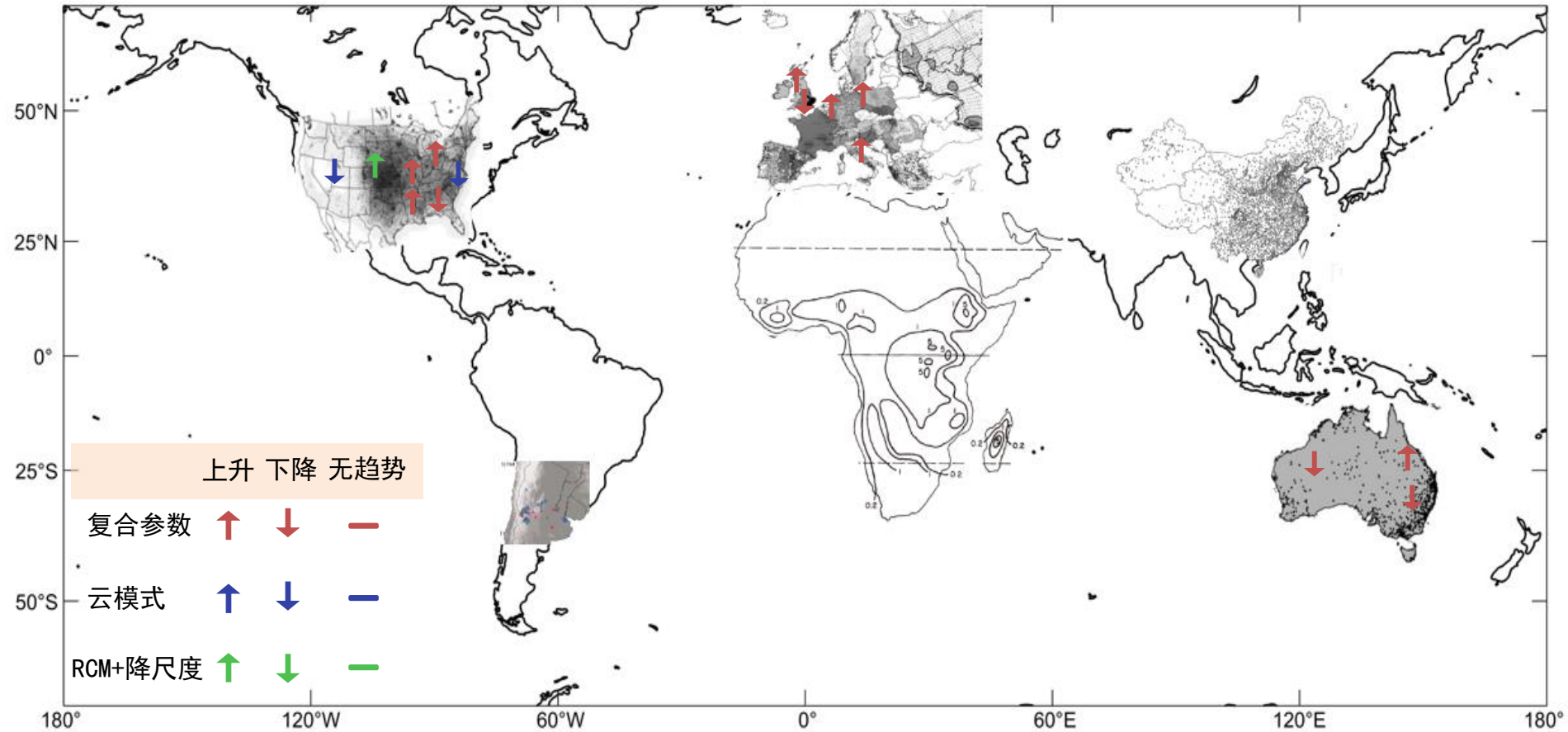
GCM/RCM

粗分辨率、模式可解析对流  
依赖于复合参数/云模式/中尺度模式

# 全球各地区过去冰雹频率趋势统计

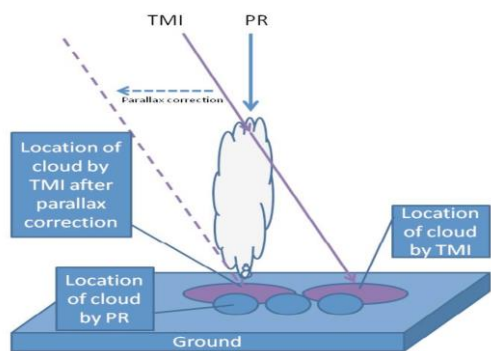
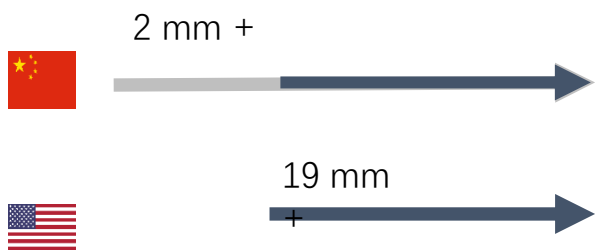


# 全球各地区未来冰雹频率趋势预测



(Kapsch et al. 2012、Rädler et al. 2019、Sanderson et al. 2015、Trapp et al. 2019、Gensini et al. 2014、Trapp et al. 2007、Brimelow et al. 2017、Allen et al. 2014)

# Objective



全球强冰雹风暴  
一致性数据集

定义：冰雹直径 > 19 mm

ERA5

AI 聚类分析

气候态分析

分类强冰雹  
环境场

一维冰雹  
增长模式



# Critiariu

识别标准(Ni et al. 2017):

- **44 dBZ** 雷达回波等值面顶温度  $< -22^{\circ}\text{C}$
- 地面温度  $> 10^{\circ}\text{C}$

2014-2021 GPM 14,297

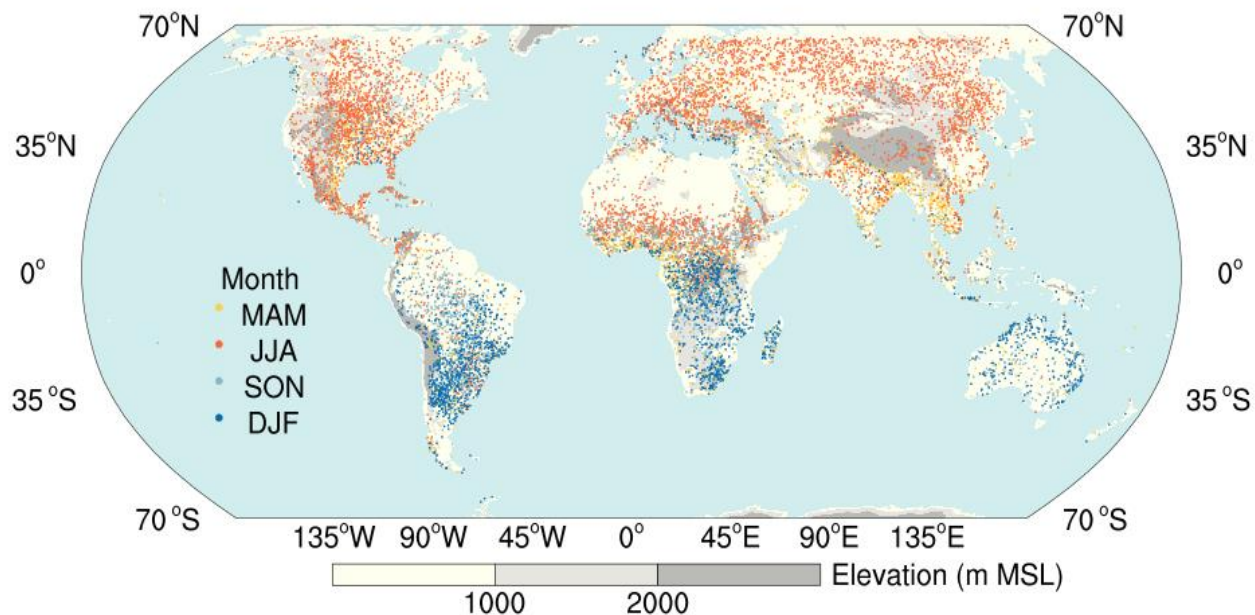
1998-2013 TRMM 10,512

MUCAPE  
 $\geq 200 \text{ J/kg}$

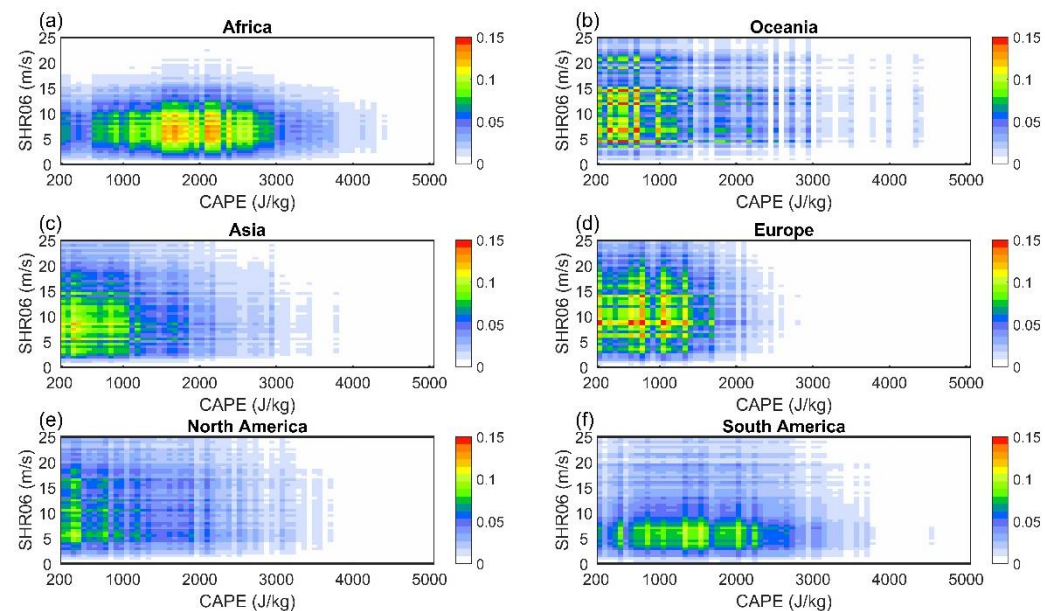
12,412 ( $66^{\circ}\text{S}$ - $66^{\circ}\text{N}$ )

9,000 ( $36.5^{\circ}\text{S}$ - $36.5^{\circ}\text{N}$ )

# 全球强冰雹事件空间分布 & 环境特征分析



2014-2021年 GPM卫星 12,412个强冰雹风暴



可能存在多种环境场类型能够有利于强冰雹风暴的发生

# 全球强冰雹风暴环境特征聚类

## 聚类方法及聚类数选择

特征	描述	单位
MUCAPE	Most-unstable convective available potential energy	J/ kg
MUCIN	Most-unstable convective inhibition	J/ kg
PW	Precipitable water	mm
SHR06	0-6km bulk shear	m/ s
Hlift	Height of lifting parcel with MUCAPE	m AGL
HGZ	Height interval between -30°C and -10°C	m above ground level (AGL)
Hmelt	Height interval between FLH and terrain height	m AGL
Hterrain	Terrain height	m

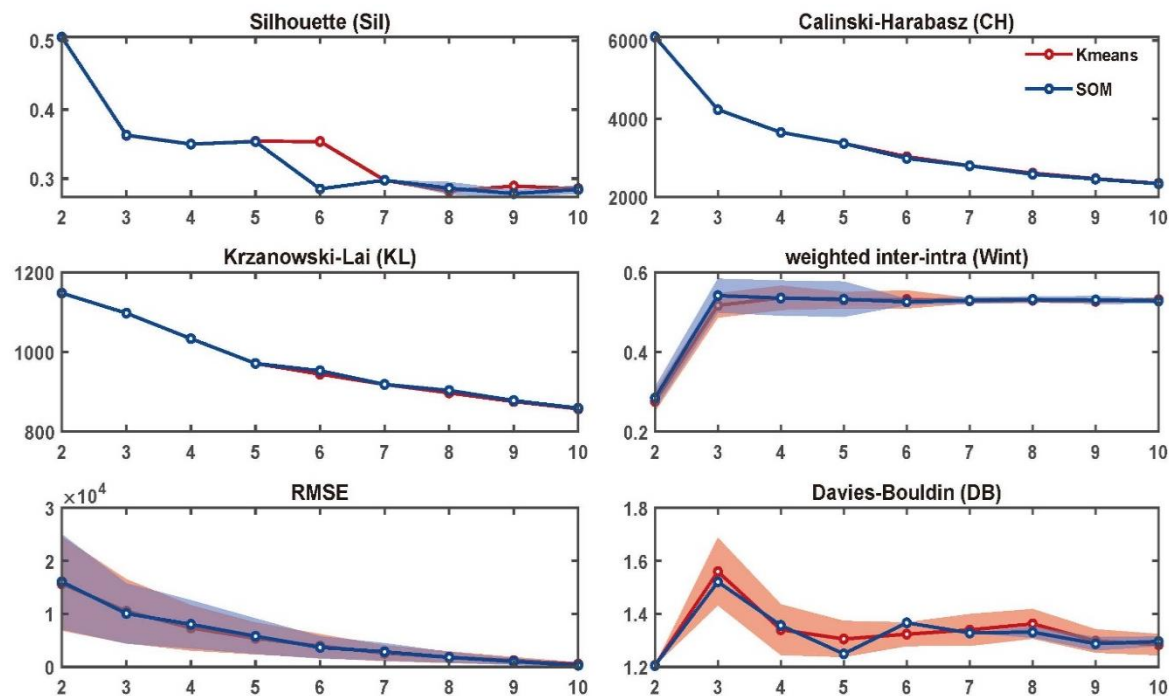
数据：ERA5再分析

聚类方法候选：SOMs和Kmeans++

聚类数：2类到10类进行聚类遍历

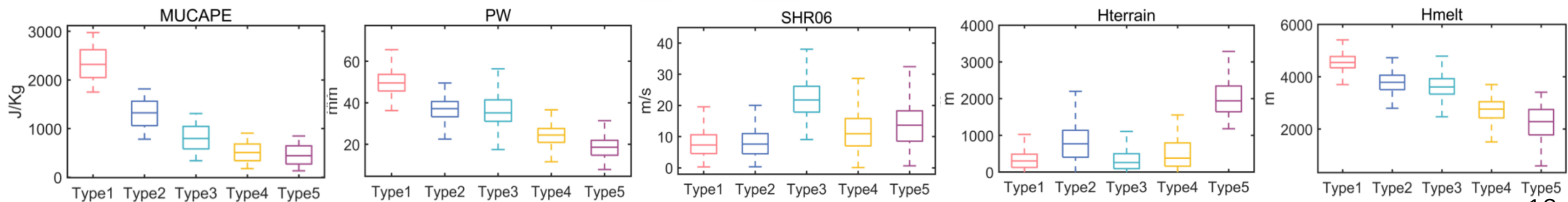
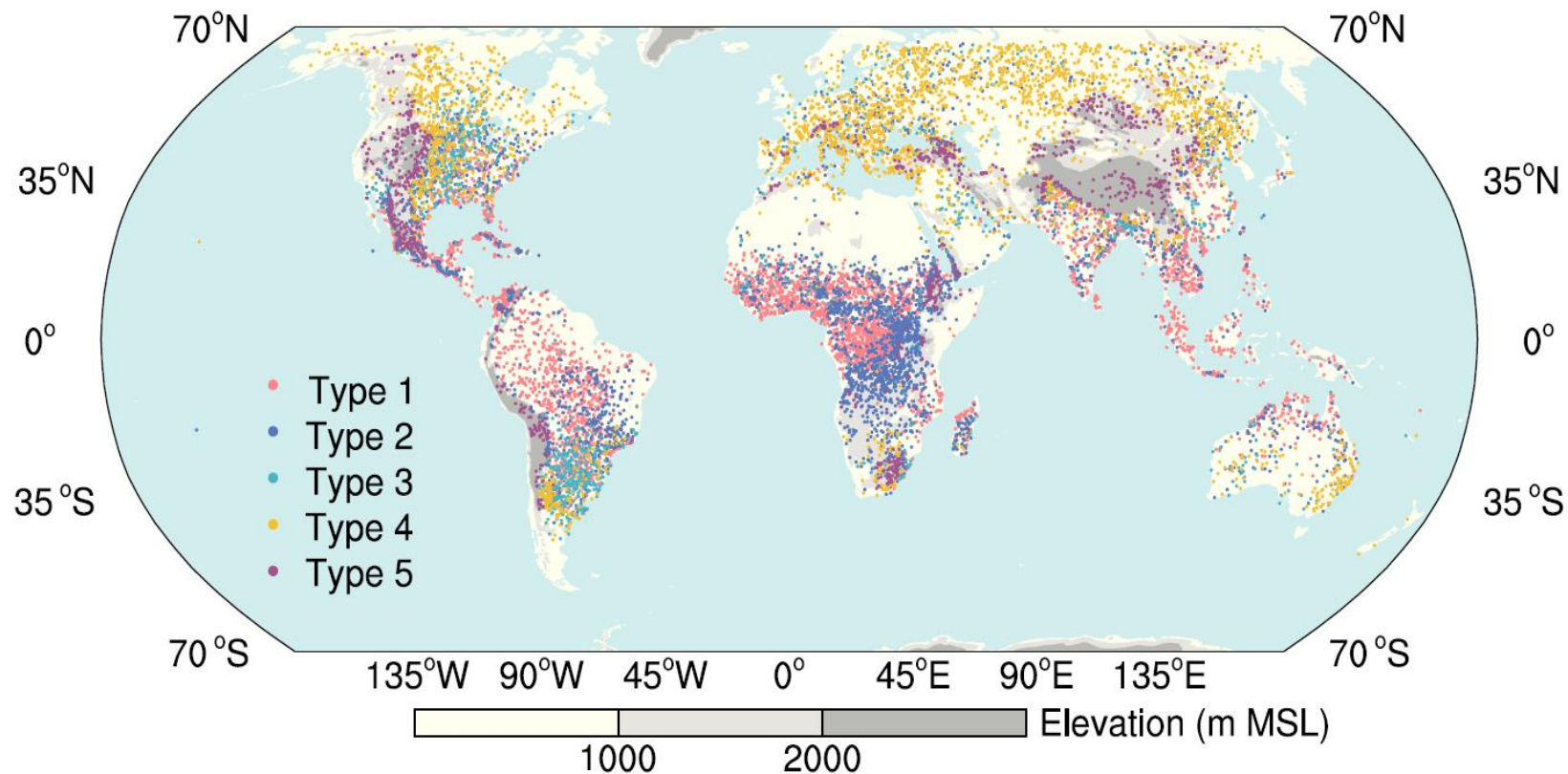
将聚类实验重复500次

衡量指标：6种内部性能度量指标

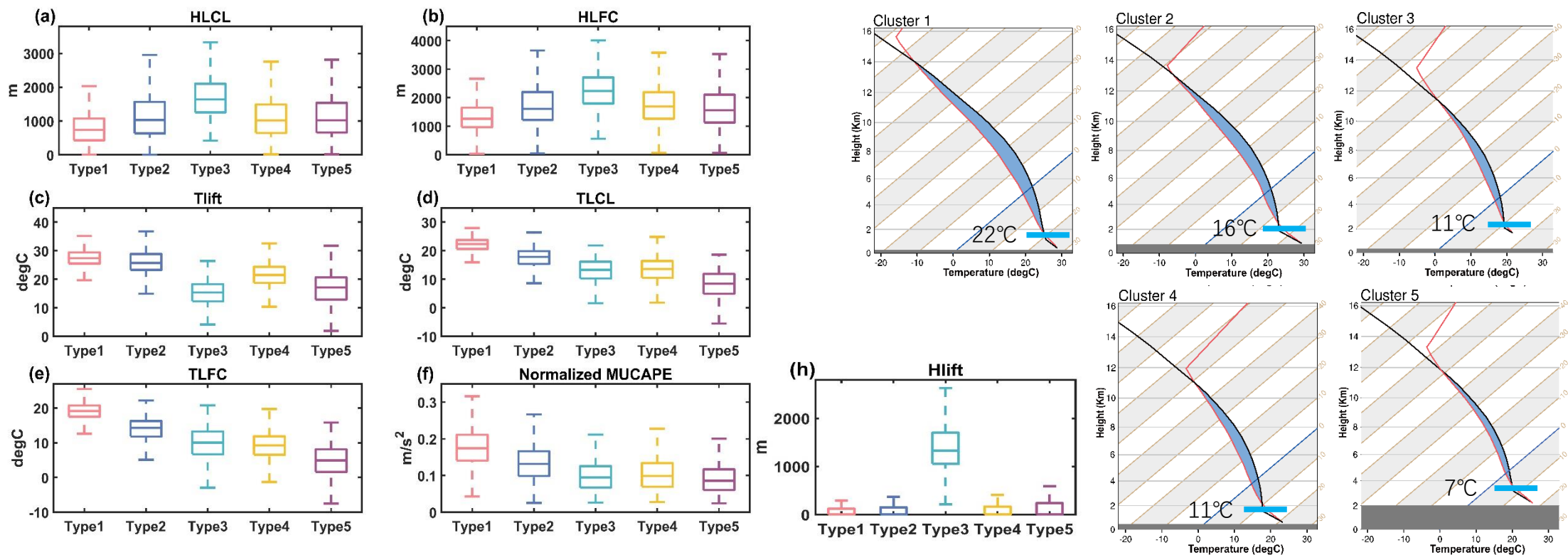


分五类聚类效果最好，实现类内距离与类间距离的平衡  
SOMs相比Kmeans++的聚类结果更加稳定

# 五类强冰雹环境场全球分布及环参特征



# 五类强冰雹环境场探空特征



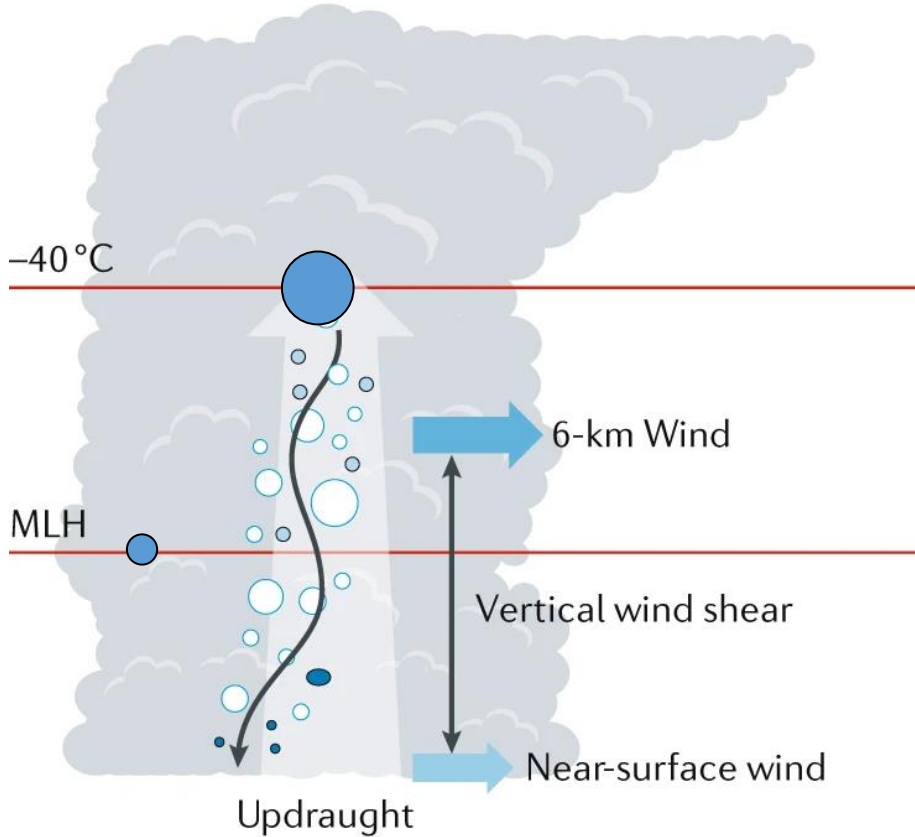
垂直加速度: type 1 and 2雹云中上升气流发展潜势要大于其他  $\text{Normalized MUCAPE} = \frac{\text{MUCAPE}}{\text{HEL} - \text{HLFC}}$

对流起始高度: type 1, 2, 4 and 5为120m AGL; type 3为1400m AGL 更可能是高架对流

雹胚类型: type 1, 2, 3, and 4雹胚更有可能是冻滴型; type 5雹胚更可能是霰粒型



# Hailstone growing model



## Hailstone Moving Equation

$$\frac{dx}{dt} = u(x, y, t) \quad \frac{dy}{dt} = v(x, y, z)$$

$$\frac{dh}{dt} = W_{wind}(x, y, h) - W_{hail}(r)$$

$$W_{hail} = \frac{Re \nu}{D_h} \quad Re = \begin{cases} 0.106X^{0.693} & X < 6.77 \times 10^4 \\ 0.55X^{0.545} & X > 6.77 \times 10^4 \end{cases}$$

## Hailstone Growing Equation

$$\frac{dr}{dt} = \frac{|\Delta W| q_w (E_{cc}(1 - P_{ci}) + E_{ci} P_{ci})}{4\rho_h}$$

$$\Delta W = \sqrt{(u^2 + v^2 + (w_h - w_c)^2)}$$

Relative velocity

$$P_{ci} = 0.008(1.274)^{T'}$$

$$E_{ci} = 0.8 \cdot (T(h) - T_0) / (T_1 - T_0)$$

## Hailstone Melting Equation

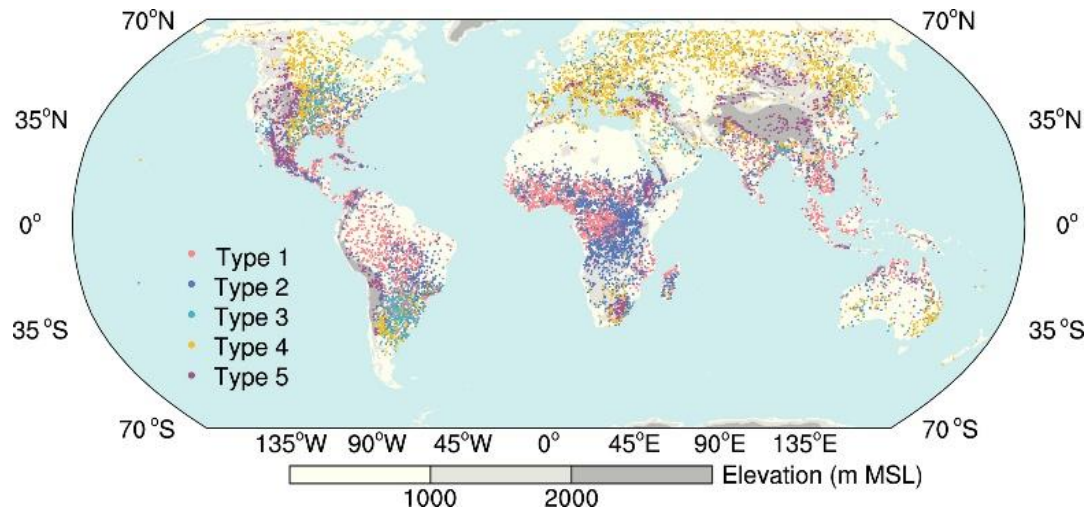
$$\frac{dR}{dt} = \frac{-1}{\rho_h L_f R} [f_h k_a (T(h) - T_r) + f_v L_v D_v \Delta \rho_w] - \frac{c_w (T(h) - T_r)}{L_f} \frac{dr}{dt}$$

$$\frac{dR}{dt} = \frac{-R_0}{\rho_h L_f R^2} [f_h k_a (T(h) - T_r) + f_v L_v D_v \Delta \rho_w]$$

From Raupach et al., 2021

○ Hailstones   ● Supercooled liquid water   ● Rain

## Five Types of severe hailstorm environments



## Environmental parameters

MUCAPE ↔ Thermodynamic condition

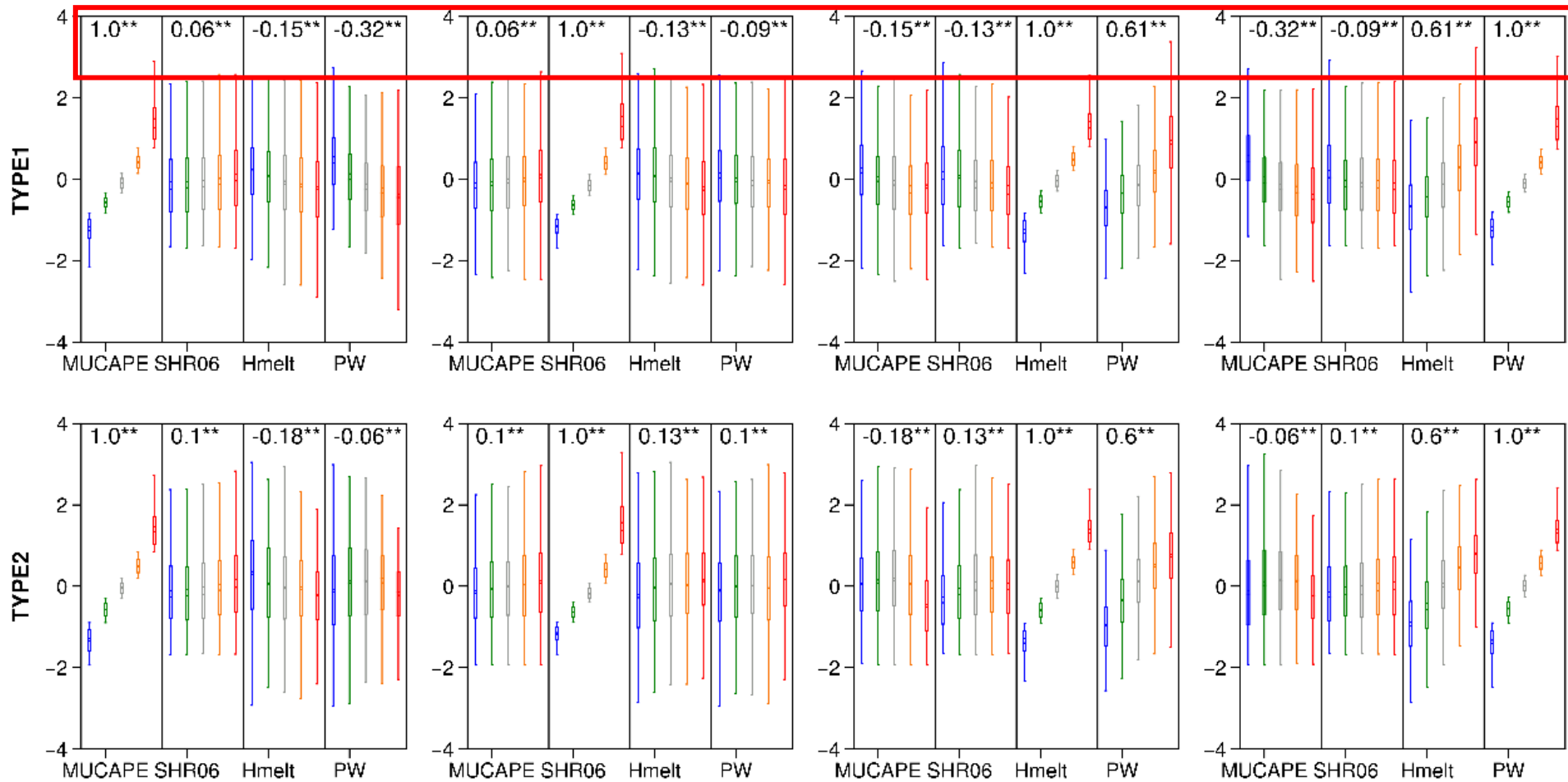
SHR06 ↔ Kinematic condition

MLH ↔ Melting condition

PW ↔ Moisture condition

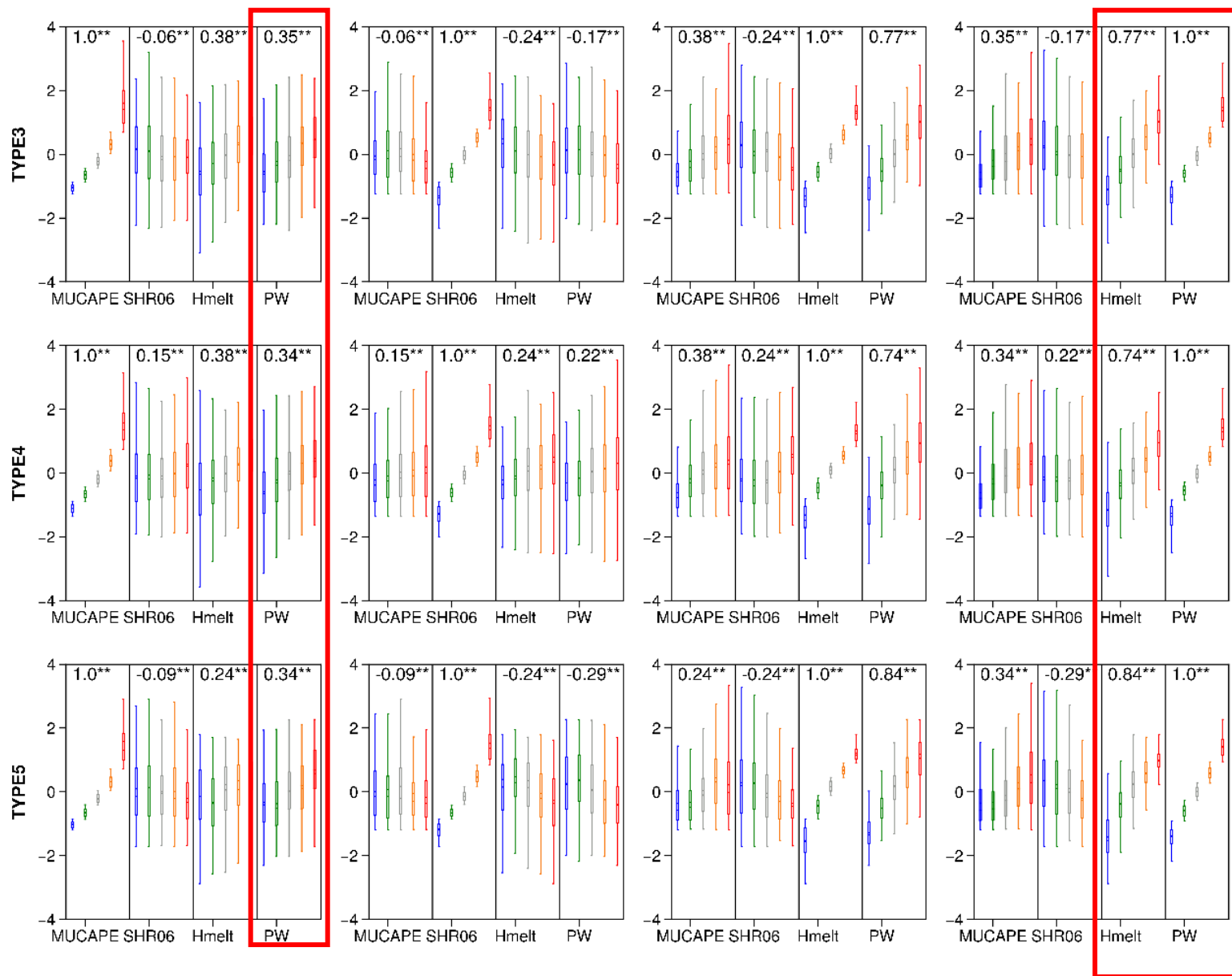
MUCAPE: Most Unstable Convective available potential energy  
SHR06: 0-6km wind shear  
MLH: Melting Level Height (above surface)  
PW: Precipitation Water

# Box and whisker plot of normalized MUCAPE, SHR06, Hmelt and PW



Correlation coefficient

- Small contribution of kinematic condition
- Stronger convective instability corresponds to less moisture condition
- Close relationship between Vapor condition and melting condition

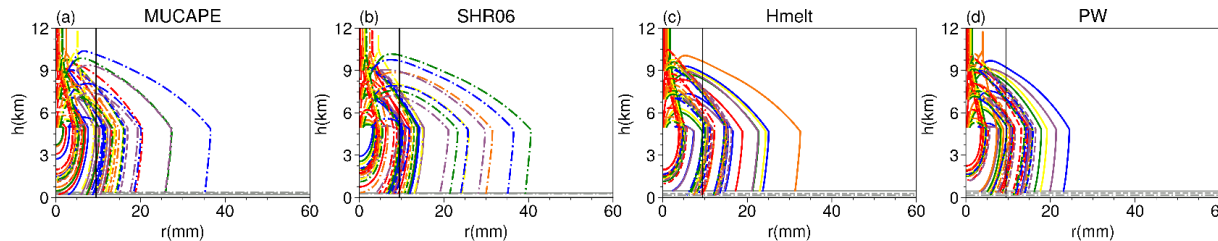


- Huge contribution of kinematic condition
- Stronger convective instability corresponding to more moisture condition
- Increasingly strengthening relationship between Vapor condition and melting condition from type 1 to type 5

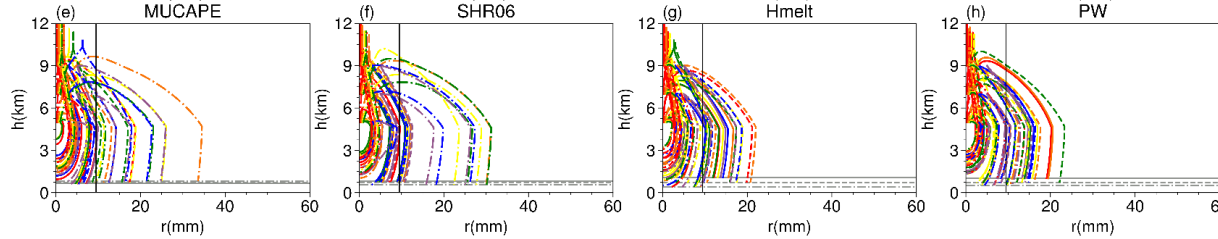
Box and whisker plot of normalized MUCAPE, SHR06, Hmelt and PW for the third, fourth and fifth type hailstorm environments

# Simulated trajectories of hailstones in different type hailstorm environment

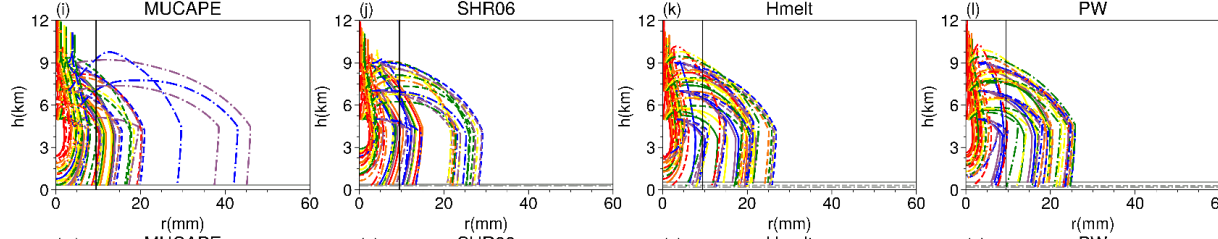
Type1



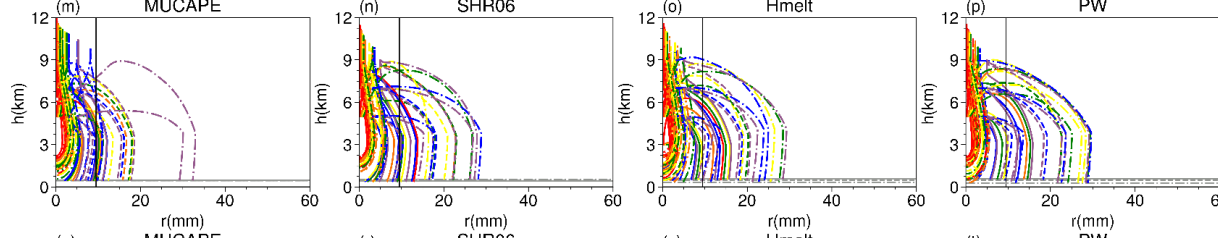
Type2



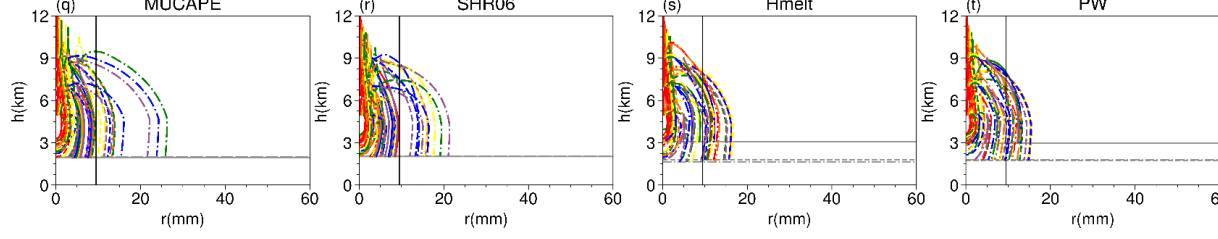
Type3



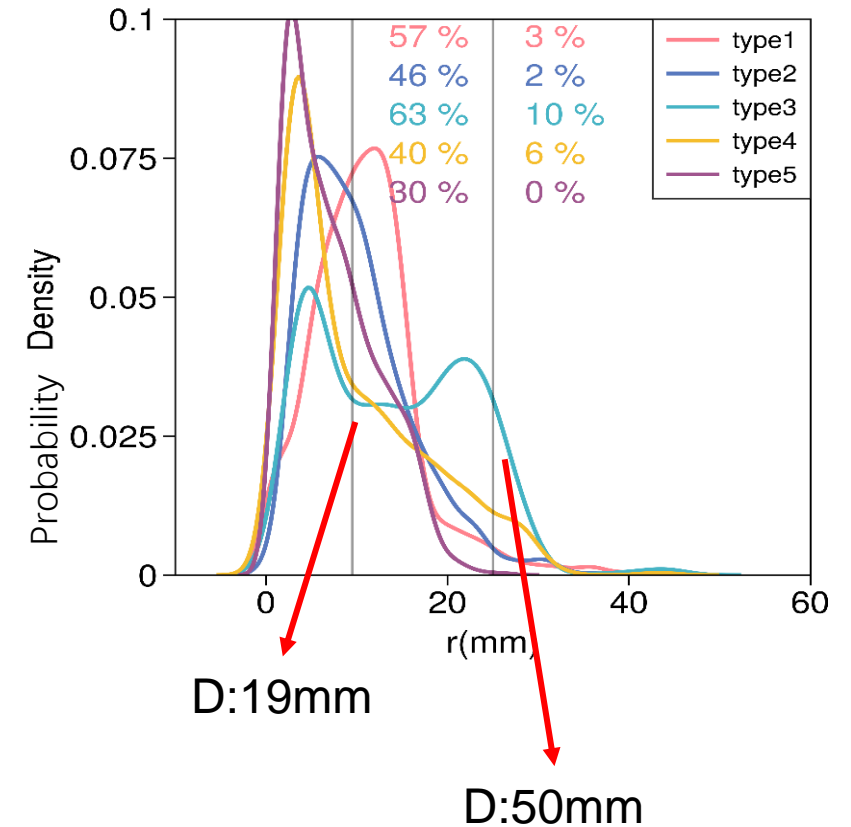
Type4



Type5

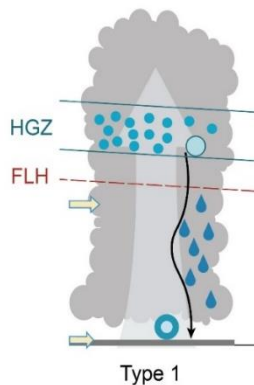


Spectral distribution of simulated hailstone size





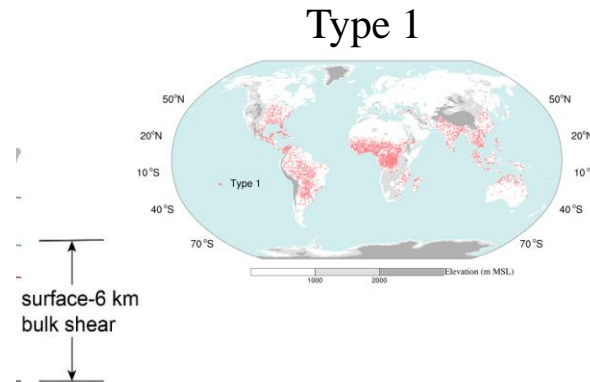
# Summary



- Frozen drop embryo
- Supercooled liquid water
- Hailstone with diameter > 19 mm
- Graupel embryo
- Rain droplet
- ⇒ Horizontal wind

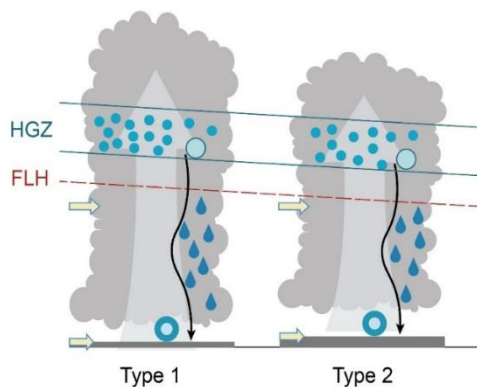
赤道

极地



类别	高发区	MUCAPE	PW	SHR06	Hmelt	对流组织形式	雹胚
Type 1	热带平原	高	高	低	高	单体	冻滴

# Summary



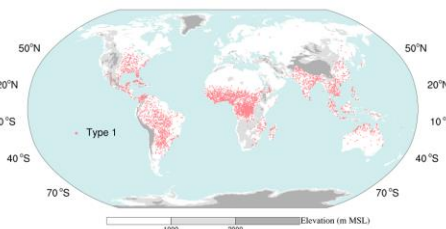
- Frozen drop embryo
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- Graupel embryo
- Rain droplet
- ⇒ Horizontal wind

赤道

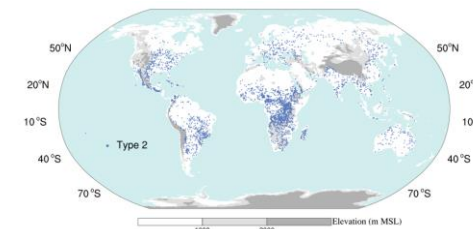
极地

surface-6 km  
bulk shear

Type 1

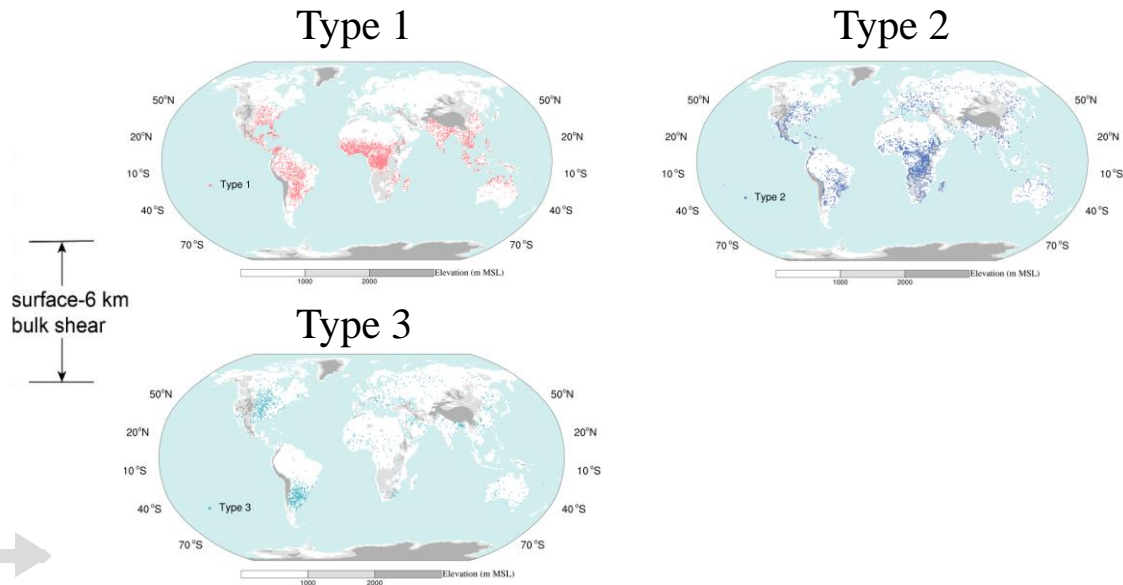
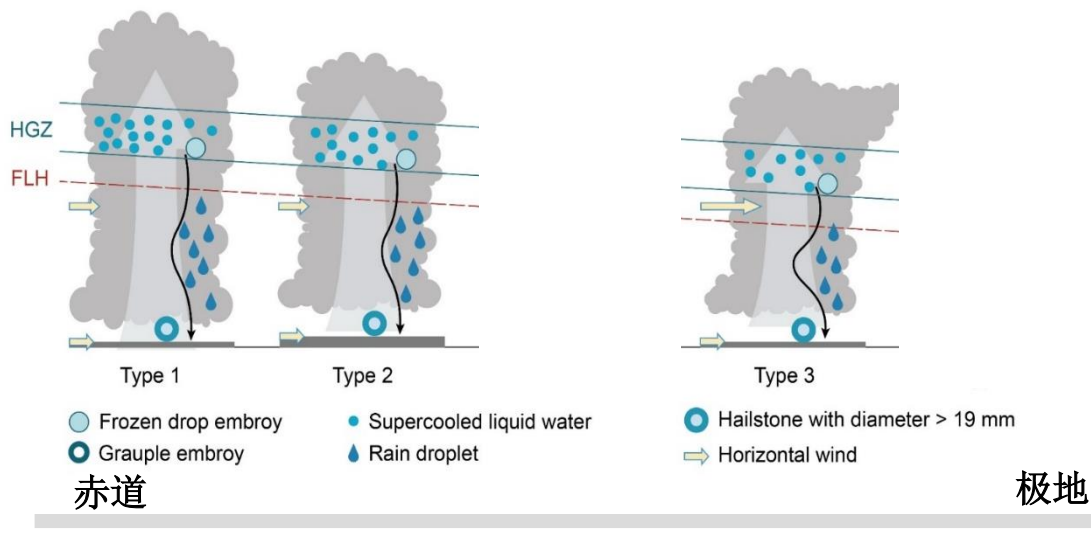


Type 2



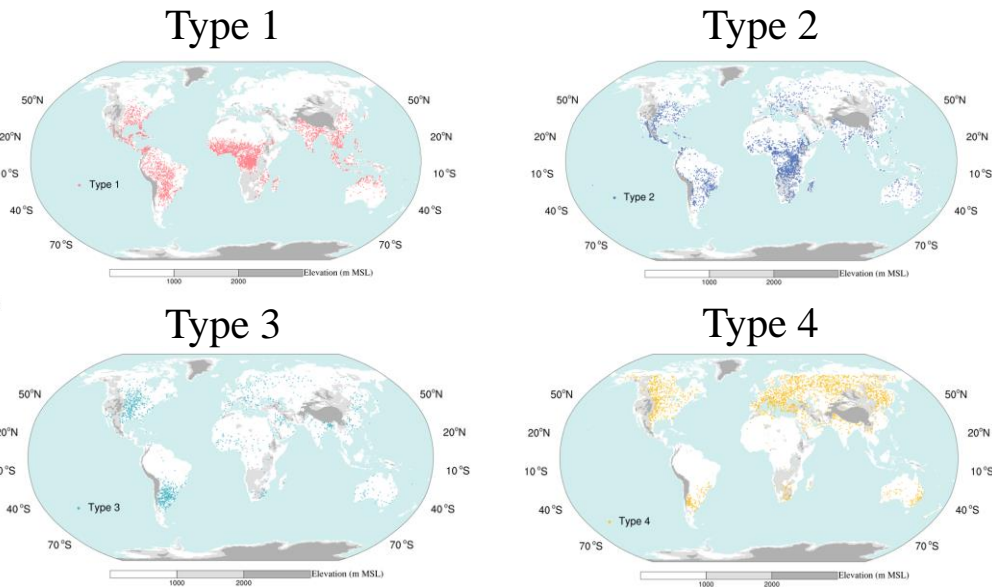
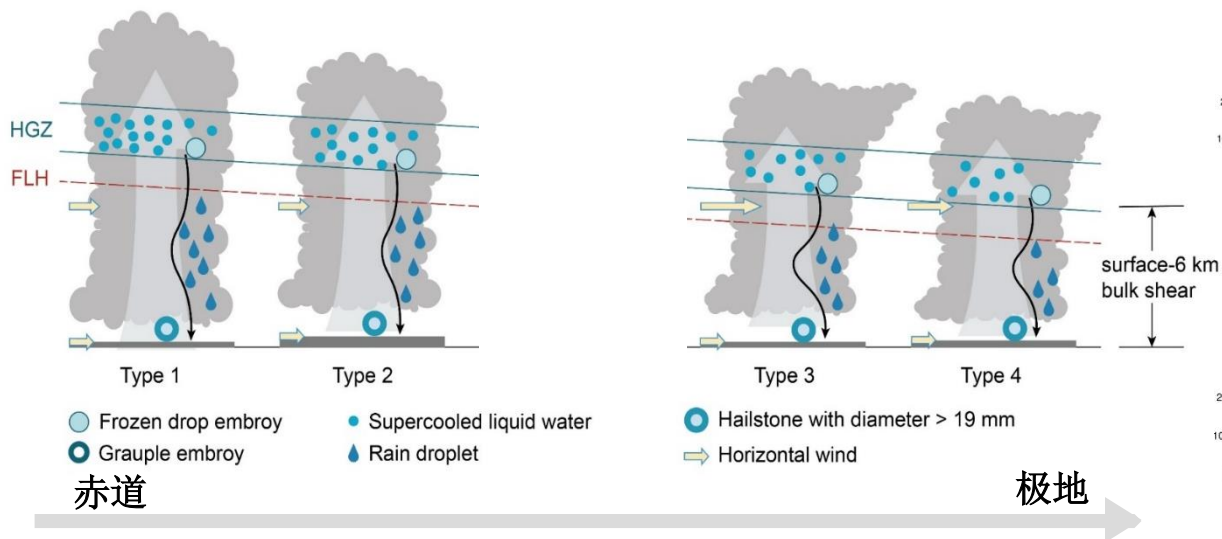
类别	高发区	MUCAPE	PW	SHR06	Hmelt	对流组织形式	雹胚
Type 1	热带平原	高	高	低	高	单体	冻滴
Type 2	热带丘陵	高	中	低	中	单体	冻滴

# Summary



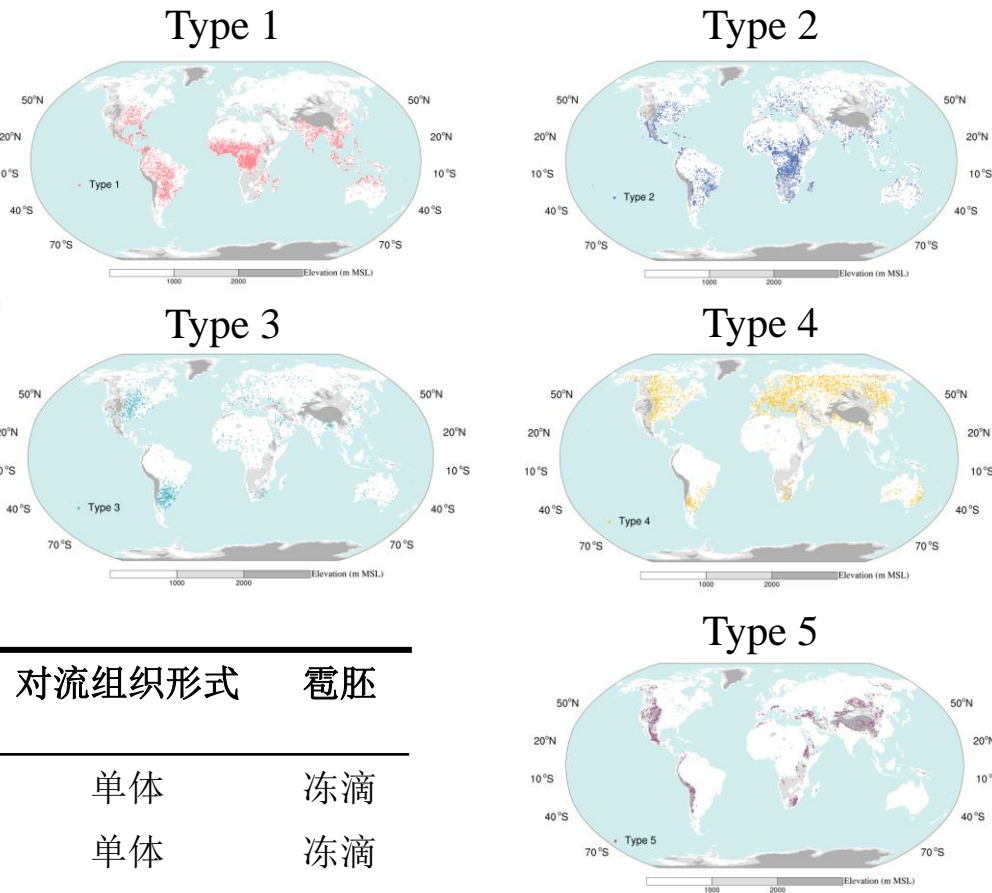
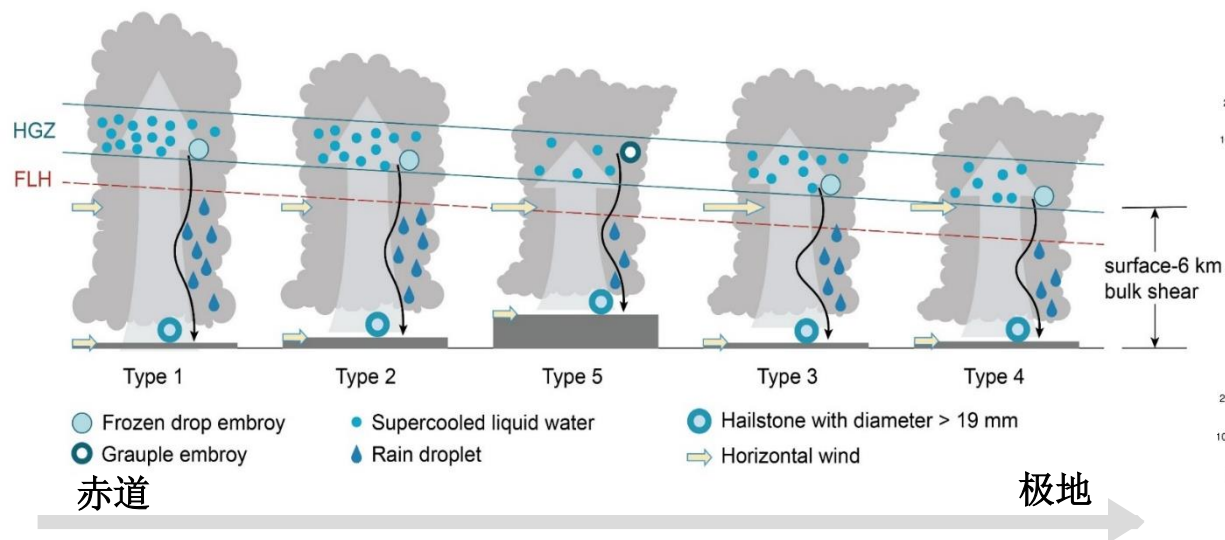
类别	高发区	MUCAPE	PW	SHR06	Hmelt	对流组织形式	雹胚
Type 1	热带平原	高	高	低	高	单体	冻滴
Type 2	热带丘陵	高	中	低	中	单体	冻滴
Type 3	中纬度平原	中	中	高	中	超级单体	冻滴

# Summary



类别	高发区	MUCAPE	PW	SHR06	Hmelt	对流组织形式	雹胚
Type 1	热带平原	高	高	低	高	单体	冻滴
Type 2	热带丘陵	高	中	低	中	单体	冻滴
Type 3	中纬度平原	中	中	高	中	超级单体	冻滴
Type 4	高纬度平原	低	低	中	低	多单体	冻滴

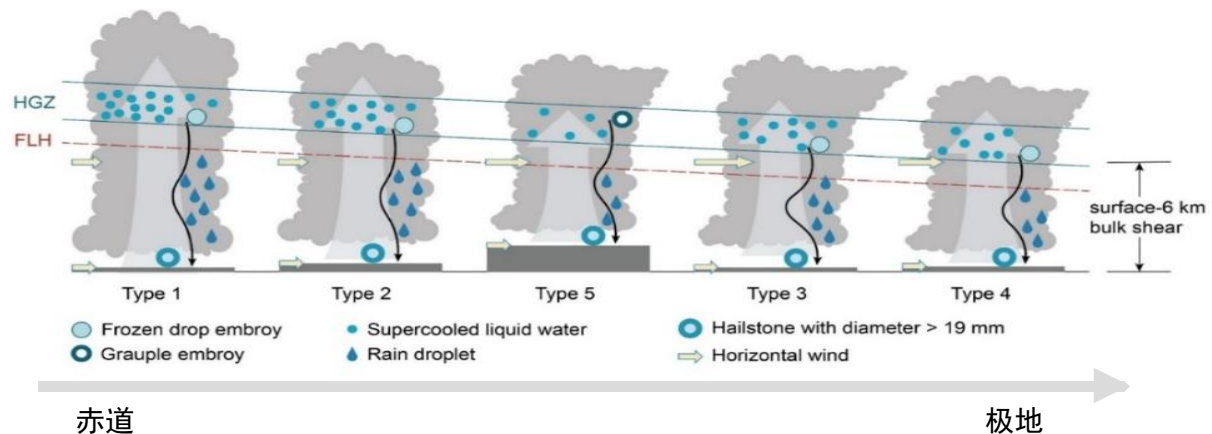
# Summary



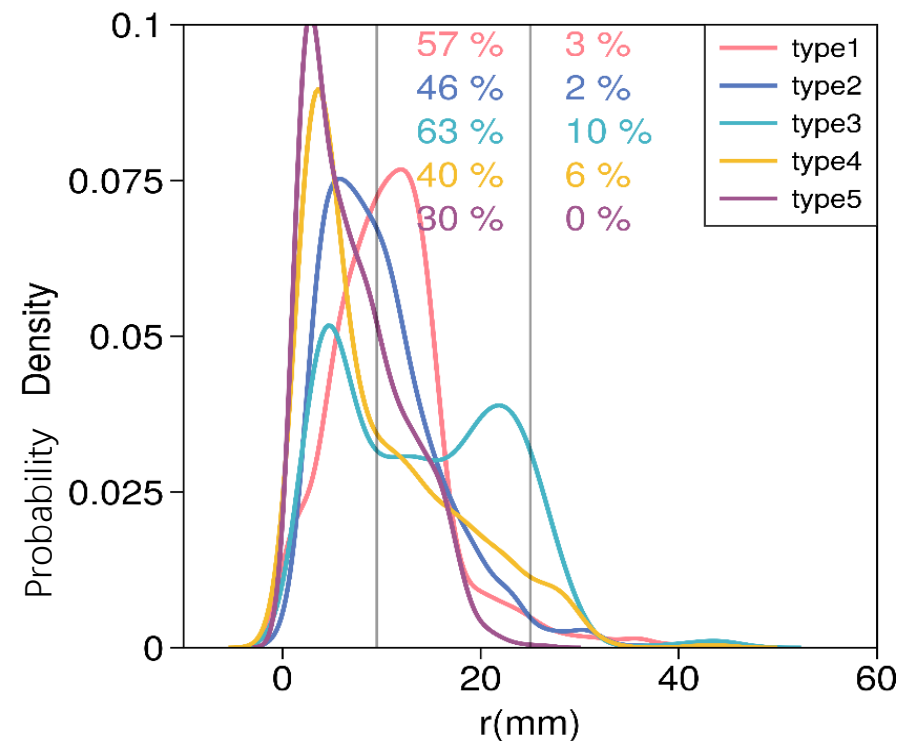
类别	高发区	MUCAPE	PW	SHR06	Hmelt	对流组织形式	雹胚
Type 1	热带平原	高	高	低	高	单体	冻滴
Type 2	热带丘陵	高	中	低	中	单体	冻滴
Type 3	中纬度平原	中	中	高	中	超级单体	冻滴
Type 4	高纬度平原	低	低	中	低	多单体	冻滴
Type 5	山脉、高原	低	低	中	低	多单体	霰粒



# Summary



D:19mm D:50mm

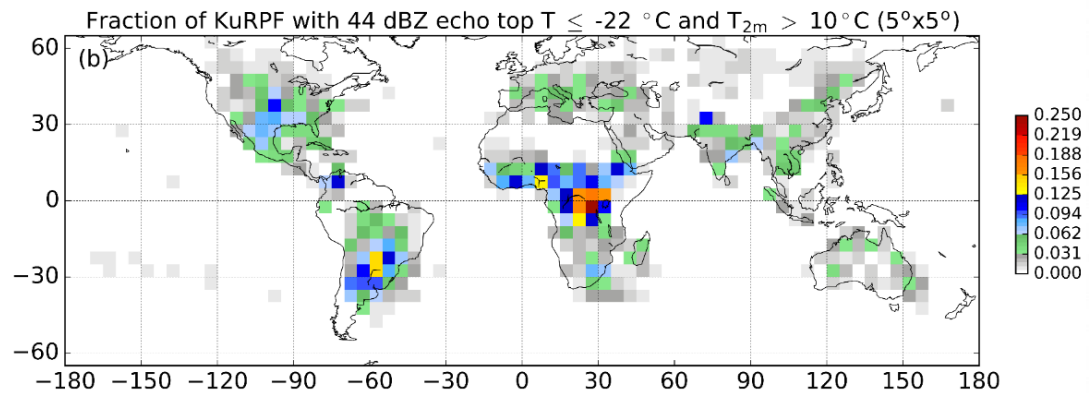
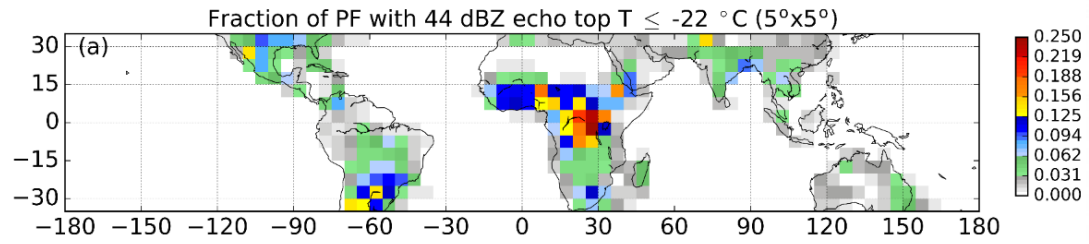


地球上存在五种强冰雹风暴环境场，在动力、热力以及融化条件之间形成平衡，具有不同的高发区、对流组织形式、雹胚种类与探空特征。

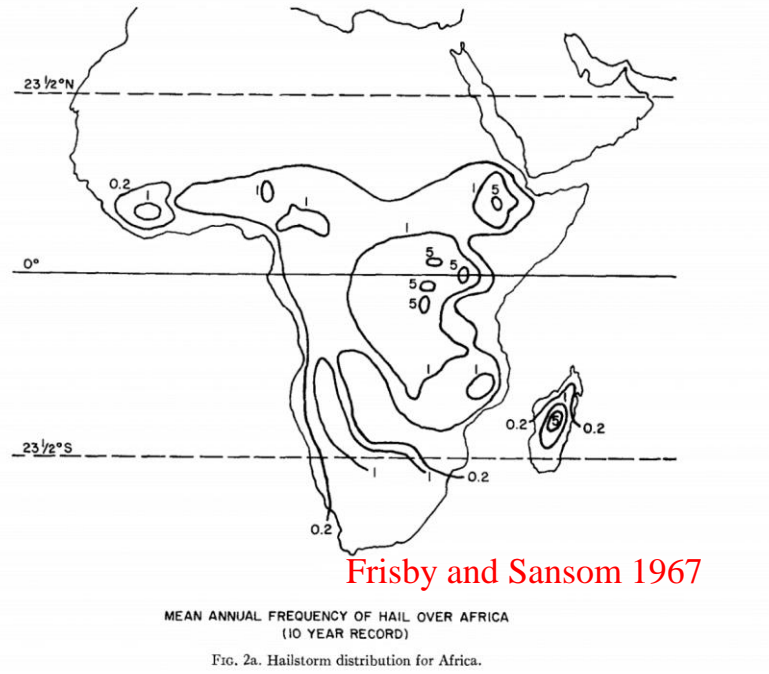
**Thanks for your Attention**

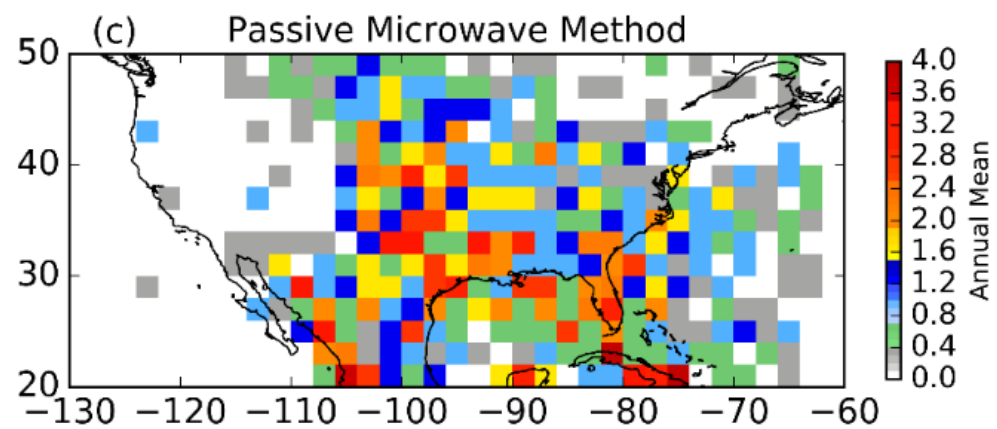
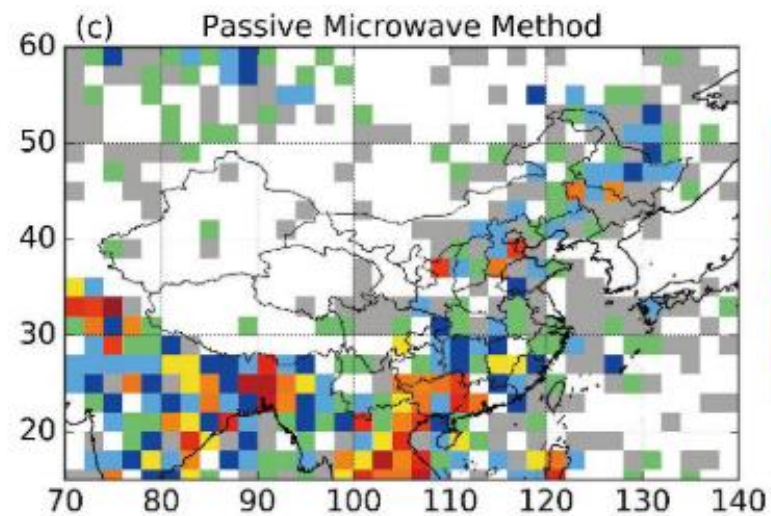
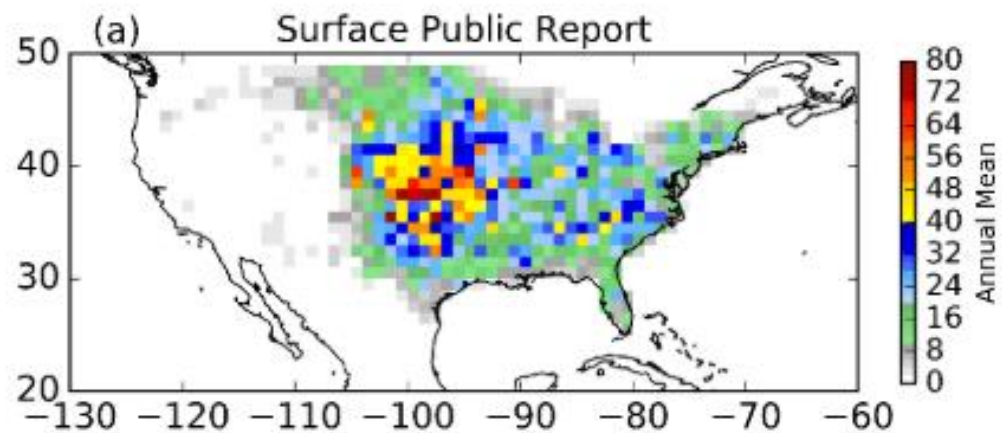
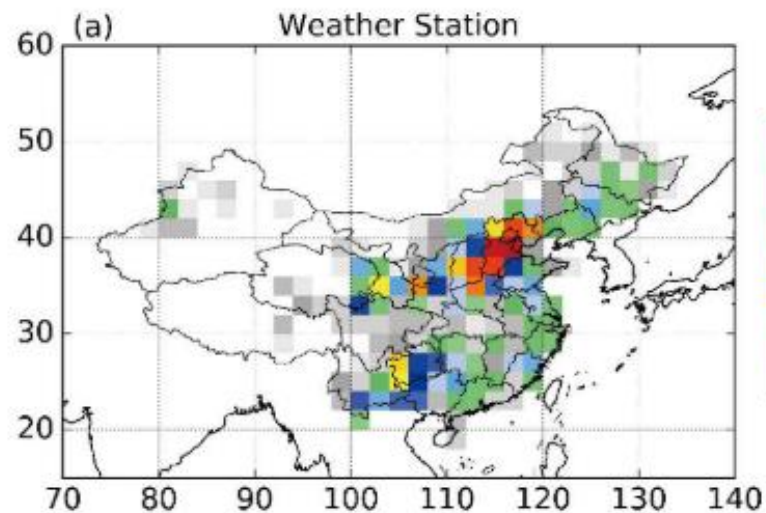
**[qzhang@pku.edu.cn](mailto:qzhang@pku.edu.cn)**



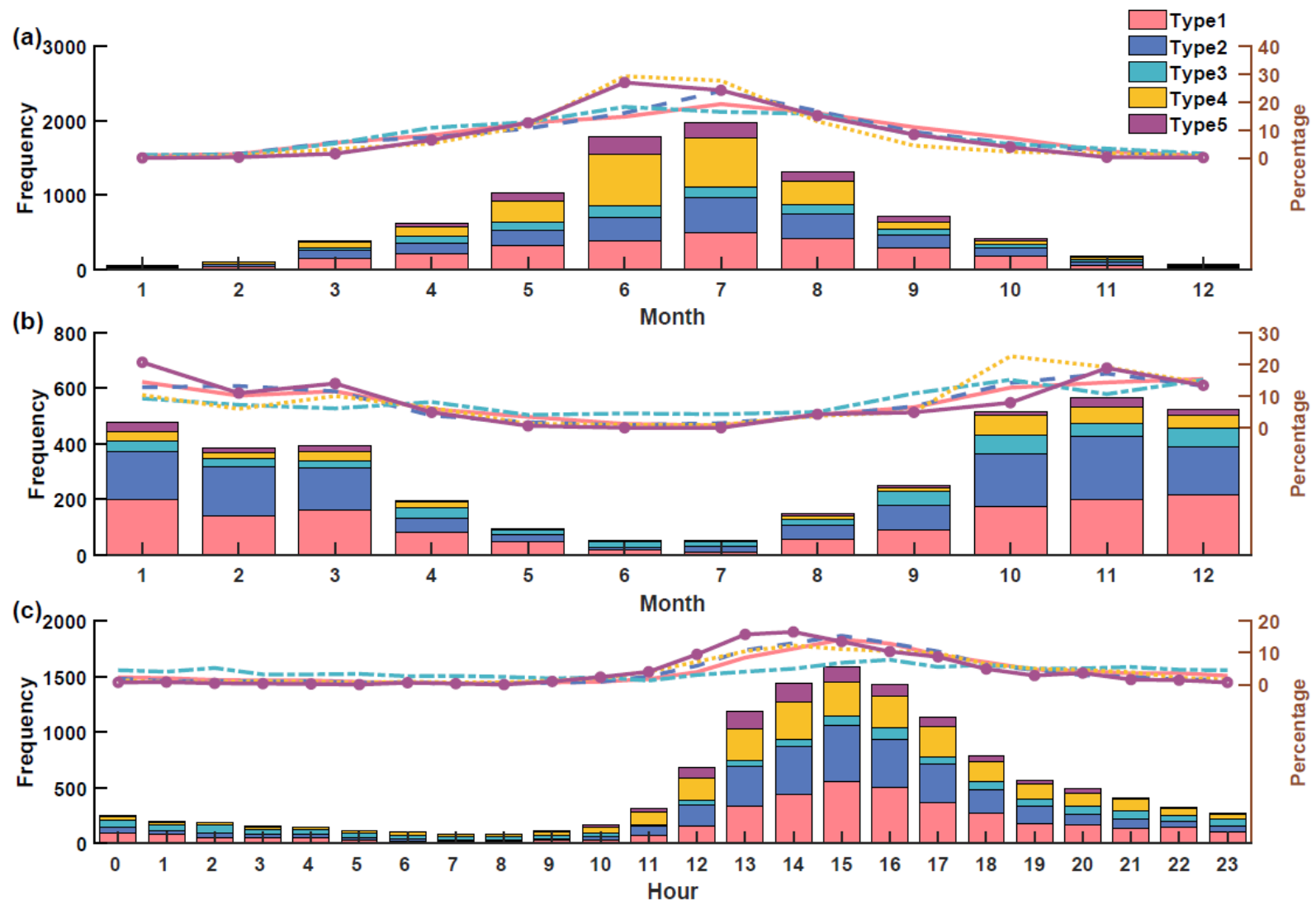


Ni et al. (2017, JAMC)





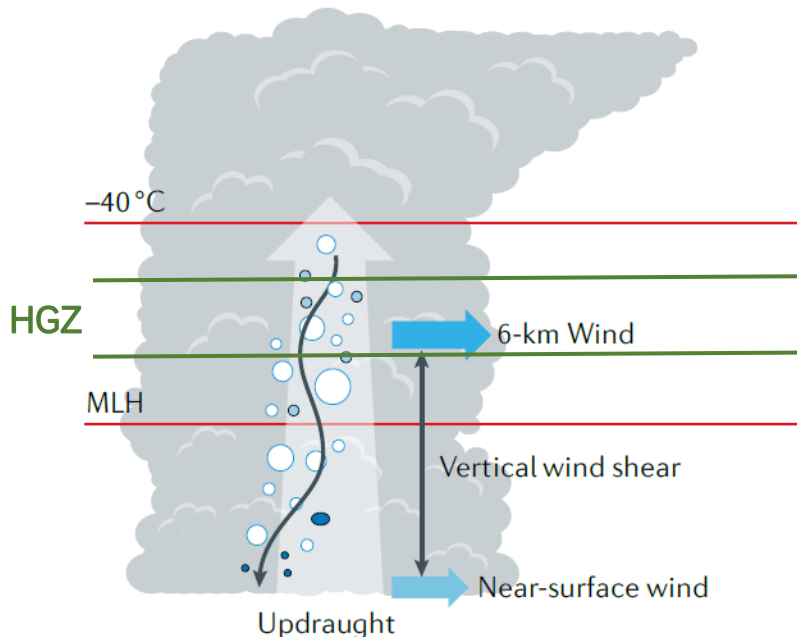




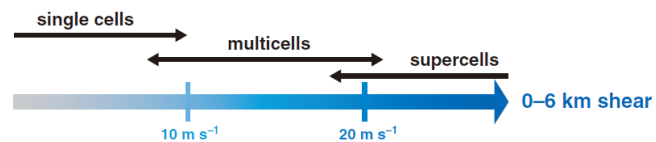
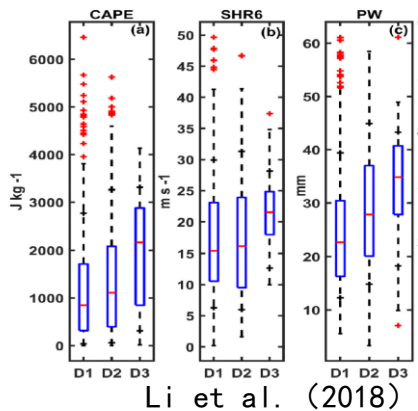


# 1. 研究背景

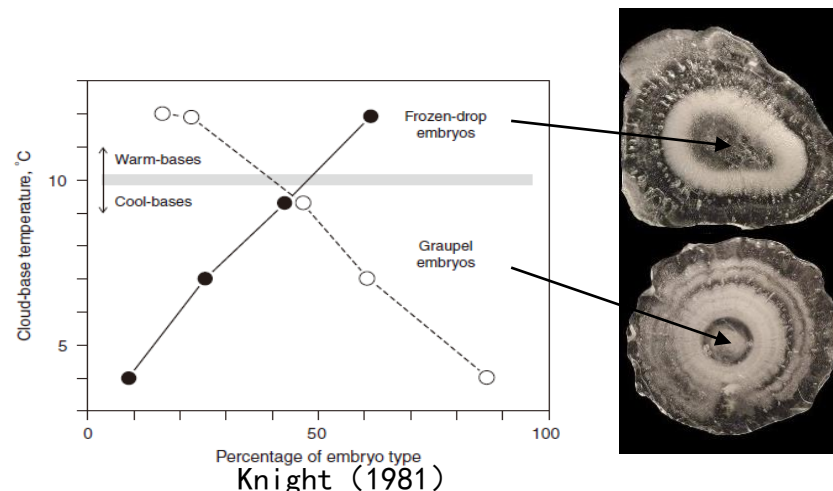
## • 影响冰雹风暴的环境因素



○ Hailstones   ● Supercooled liquid water   ● Rain



- ✓ CAPE: 对流发展潜势、最大上升速度
- ✓ 水汽: 直径越大的冰雹出现时PW越高
- ✓ SHR: 影响对流组织形式和生命周期  
改变上升气流的宽度
- ✓ 云底温度: 冻滴型与霰粒型雹胚
- ✓ 融化过程: 受多个子因素的影响, 包括融化层厚度、平均温度与湿度、冰雹的直径



## 2. 资料方法

### ■ ERA5

0.25°×0.25°

36个环境参数

热动力、动力、融化、基本属性

### ■ 聚类与分类算法

SOMs

Kmeans ++

随机森林模型

### ■ 地面观测数据集

1998-2013 CMA地面站冰雹观测资料

2019 NOAA 冰雹公众报告

特征	描述	单位
MUCAPE	Most-unstable convective available potential energy	J/ kg
MUCIN	Most-unstable convective inhibition	J/ kg
PW	Precipitable water	mm
SHR06	0-6km bulk shear	m/ s
Hlift	Height of lifting parcel with MUCAPE	m AGL
HGZ	Height interval between -30°C and -10°C	m above ground level (AGL)
Hmelt	Height interval between FLH and terrain height	m AGL
Hterrain	Terrain height	m
TLCL	Temperature of Lifting Condensation Level (LCL)	°C
TLFC	Temperature of Level of Free Convection (LFC)	°C
TEL	Temperature of Equilibration Level	°C
T500	500hPa temperature	°C
HLCL	Height of LCL	m AGL
HLFC	Height of LFC	m AGL
HEL	Height of Equilibration Level	m AGL
L700500	700hPa-500hPa lapse rate	°C/ km
Normalized MUCAPE	MUCAPE divided by the depth of the layer where CAPE is present	m/ s <sup>2</sup>
CAPE_FLH	H_lift-FLH MUCAPE	J/ kg
CAPE_3km	H_lift-3km MUCAPE	J/ kg
CAPE_6km	H_lift-6km MUCAPE	J/ kg
SBCAPE	Surface-based convective available potential energy	J/kg
SBCIN	Surface-based convective inhibition	J/kg
PRECIP RATE	Precipitation Rate	mm/h
EBWD	Effective bulk wind difference	m/s
Tlift	Temperature of lifting parcel with MUCAPE	°C
Plift	Pressure of lifting parcel with MUCAPE	hPa
Year	-	-
Month	-	-
Day	-	-
Hour	-	-
Lon	Longitude	-
Lat	Latitude	-

### ■ 分类模型度量指标

$$R^2 = \frac{\sum_i (\hat{y}_i - \bar{y})^2}{\sum_i (y_i - \bar{y})^2} = 1 - \frac{\sum_i (y_i - \hat{y}_i)^2}{\sum_i (y_i - \bar{y})^2}$$

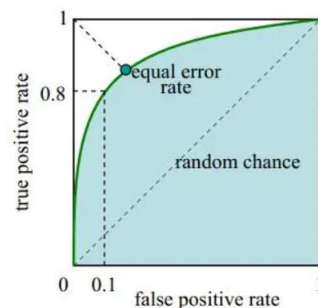
$$MAE = \frac{1}{m} \sum_{i=1}^m |y_i - \hat{y}_i|$$

$$ACC = \frac{TP + TN}{TP + TN + FP + FN}$$

$$POD = \frac{TP}{TP + FN}$$

$$FAR = \frac{FP}{FP + TN}$$

AUC



		实际情况	
		P	N
预测情况	T	TP	TN
	F	FN	FP

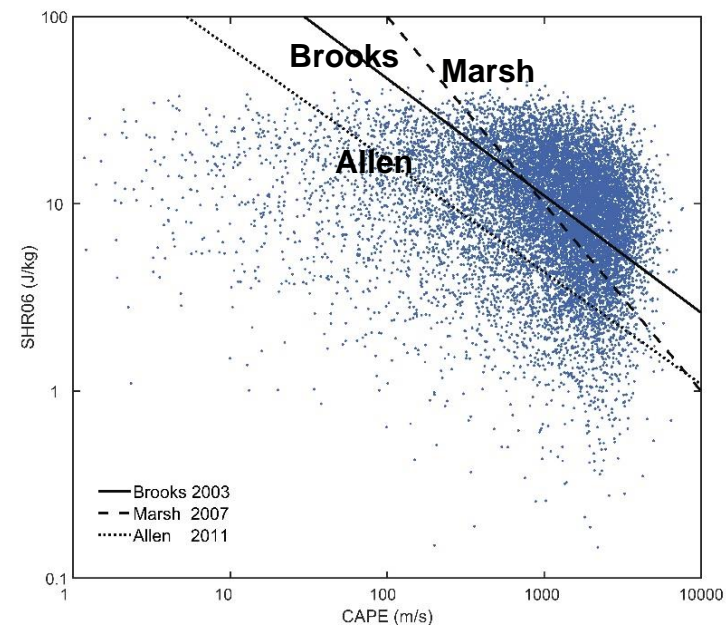
### 3. 全球强冰雹事件环境特征分析

- 复合参数：特别强对流风暴判别式

环境条件判别式预报特别强对流风暴

定义：直径为50mm以上的冰雹、阵风风速达120km/h或F2级及以上的龙卷风

判别式	POD	FAR	来源
$CAPE \times SHR06^{1.6} \geq 46800$	0.430	0.059	Brooks et al. 2003
$CAPE \times SHR06 \geq 10000$	0.503	0.080	Marsh et al. 2007
$CAPE \times SHR06^{1.67} \geq 11500$	0.768	0.184	Allen et al. 2011



✓ CAPE和SHRO6不能完整描述强冰雹风暴环境场的动力与热力特征

✓ 将考虑更多环境参数，对强冰雹风暴的环境场特征进行针对性分析